



ANSWER EACH QUESTION. FOR ALL YES ANSWERS, ATTACH COMPLETE DETAILS ON A SEPARATE SHEET

YES NO

1. Has your LICENSE to practice in any state ever been denied, suspended, revoked, voluntarily surrendered, restricted, or subject to probationary terms?
2. Has your DEA Certificate for prescribing or dispensing narcotics ever been denied, suspended, revoked, voluntarily surrendered, restricted, or subject to probationary terms?
3. Have you ever been the subject of any DISCIPLINARY proceedings or reprimand by any administrative agency, medical society, licensing board, hospital or professional organization?
4. Have you ever been convicted of, or plead nolo contendere, to a VIOLATION of any law or ordinance other than a traffic offense?
5. Has any hospital, medical society, administrative agency, or professional organization ever requested or required you to be EVALUATED for any allegations in the following areas: medical condition, alcohol and/or drug abuse/dependency, anger or behavior problems or sexual boundary questions?
6. Have you ever had or do you currently have an ILLNESS OR DISABILITY that impaired, impairs or could impair your ability to practice medicine including, but not limited to, alcoholism, drug addiction, compulsive disorders, tremors, multiple sclerosis or rheumatoid arthritis? If "yes", the details required on a separate sheet must include the name and address of your treating physician.
7. Has any CLAIM OR LAWSUIT for any alleged malpractice ever been brought against you?  
If "yes"; how many? \_\_\_\_\_ PLEASE ATTACH A COMPLETED CLAIMS ADDENDUM FORM FOR EACH "YES" ANSWER.
8. Has any CLAIM OR LAWSUIT for alleged malpractice ever resulted in a court judgment against you or a settlement by you or by an insurance company, self-insured plan, other form of indemnification or other form of protection on your behalf?
9. Are you aware of any INQUIRY by an attorney representing any patient (other than worker's compensation or accident claims) about medical care you provided?  
If "yes"; has the inquiry (or inquiries) been reported to and accepted by another medical professional liability insurer?
10. Has your professional liability INSURANCE ever been cancelled, non-renewed or issued on special terms or has your application for such medical professional liability insurance ever been declined? (Missouri applicants are not required to respond.)

I REPRESENT that the statements made and the answers provided herein are complete, true, and correct, and are for the purpose of inducing State Volunteer Mutual Insurance Company ("the Company") to issue the coverage for which the application is hereby made.

I UNDERSTAND that the coverage shall be void if, whether before or after a loss or claim, I am found to have willfully concealed or misrepresented any material fact or circumstance concerning this insurance or the subject thereof.

I AUTHORIZE all hospitals, past or present medical associates, licensing boards, past or present professional liability insurers, and all other persons or organizations to release information concerning me and my medical practice history to the Company for the purpose of evaluating my liability risk. I AUTHORIZE the Company to use a copy of this authorization in place of the original. I UNDERSTAND that any such information will be used by the Company solely for underwriting purposes.

**REGULATORY NOTICE:** I ACKNOWLEDGE that it is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the Company, and that penalties include imprisonment, fines and denial of insurance coverage.

I further ACKNOWLEDGE that execution of this application by me does not bind the Company to issue coverage, but that this application shall be the basis of the contract should coverage be issued.

\_\_\_\_\_  
Signature of applicant

\_\_\_\_\_  
Print or type name of applicant

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature of employer

\_\_\_\_\_  
Print or type name of employer

\_\_\_\_\_  
Date

## **Fraud Warnings**

**Notice to Alabama, Arkansas, Louisiana, and West Virginia Applicants:** Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

**Notice to Florida and Oklahoma Applicants:** Any person who knowingly and with intent to injure, defraud, or deceive any insurer files a statement of claim or an application containing any false, incomplete, or misleading information is guilty of a felony (of the third degree)\*. \*Applies in Florida only.

**Notice to Kansas Applicants:** A "fraudulent insurance act" means an act committed by any person who, knowingly and with intent to defraud, presents, causes to be presented or prepares with knowledge or belief that it will be presented to or by an insurer, purported insurer, broker or any agent thereof, any written, electronic, electronic impulse, facsimile, magnetic, oral, or telephonic communication or statement as part of, or in support of, an application for the issuance of, or the rating of an insurance policy for personal or commercial insurance, or a claim for payment or other benefit pursuant to an insurance policy for commercial or personal insurance which such person knows to contain materially false information concerning any fact material thereto; or conceals, for the purpose of misleading, information concerning any fact material thereto.

**Notice to Kentucky and Ohio Applicants:** Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties.

**Notice to Tennessee and Virginia Applicants:** It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines and denial of insurance benefits.

**Notice to Maryland Applicants:** Any person who knowingly or willfully presents a false or fraudulent claim for payment of a loss or benefit or who knowingly or willfully presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison. All policies are subject to a 45-day underwriting period beginning on the effective date of coverage. In accordance with §12-106 of the Insurance Article, Annotated Code of Maryland, if the Company discovers a material risk factor during the underwriting period, the Company may cancel a policy with 15 days written notice, or recalculate the premium from the effective date of the policy.

**Notice to Applicants of all other states:** Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime and subjects the person to criminal and civil penalties.

## Claims Detail Addendum

Applicant's Name (please print) \_\_\_\_\_

Please supply the following information for each "yes" response to questions #12-15 on the application for Medical Professional Liability Insurance:

**Total number of claims, suits, incidents or inquiries:** \_\_\_\_\_

Please print or type answers to each of the following questions in detail. If more than one case exists, please photocopy this sheet for each case. FULL DISCLOSURE OF THE INFORMATION REQUESTED BELOW IS NECESSARY.

Patient/Plaintiff's Name \_\_\_\_\_ Insurance carrier involved \_\_\_\_\_

Date of occurrence \_\_\_\_\_ Date reported \_\_\_\_\_ Date closed (if applicable) \_\_\_\_\_

What is the status of the case? (check one)

- |                                  |   |  |
|----------------------------------|---|--|
| <input type="checkbox"/> Pending | <input type="checkbox"/> Settled Out of Court | <input type="checkbox"/> Found for Plaintiff |
| <input type="checkbox"/> Dropped | <input type="checkbox"/> Dismissed            | <input type="checkbox"/> Found for Defendant |

If damages were paid, either by settlement or court award, what was the amount? \_\_\_\_\_

Paid on your behalf \$ \_\_\_\_\_ Paid by all parties \$ \_\_\_\_\_

What is/was your status? (check one)  Primary Defendant  Codefendant  Other

In the space below (attach additional page(s) if needed), provide detailed information of the following for each case

A) Provide a brief description of the incident/claim/suit.

B) What were you alleged to have done incorrectly or failed to have done correctly?

C) Provide any other details you feel are pertinent to the case.

D) Identify any other parties who are named in the claim or suit.

Applicant's Signature \_\_\_\_\_ Date \_\_\_\_\_

Print or type name as it appears above \_\_\_\_\_